2019 OBL Bank Security Management Conference

OBL Ohio Bankers League

Attend 1 or 2 Days!

Monday, September 23 & Tuesday, September 24, 2019 OBL Education Center

Registration

Registration, which includes lunch and a detailed manual, is as follows:

One Day Rate
$295 per OBL member
$590 per nonmember

Two Day Rate
$495 per OBL member
$990 per nonmember

The program will be held at the OBL Education Center (4215 Worth Avenue, Suite 300, Columbus, Ohio 43219), Tel. 614-340-7595.

The program will run from 9 a.m. to 4 p.m. each day with registration and a continental breakfast beginning at 8:30 a.m.

With the increase in workplace violence, cyber invasion and other potential threats to banks and their customers, the OBL has met bankers requests by upgrading this program, formerly known as the OBL Bank Security Workshop, to a Conference. Bankers will receive even more in-depth prevention and pro-active response tools to help keep employees and customers safe given the environment in which we face daily. Bankers are invited to attend one or both days of this valuable program.

Who Should Attend?

This program is designed for information security officers and information technology staff, and will also provide great value to compliance officers, auditors, presidents and board of directors.

Program Highlights: Day 1 - Sept. 23

All Day 1 sessions will be led by Carol S. Dodgen, Dodgen Security Consulting

8:30 AM: Registration & Welcome

9 – 10:30 AM: Violence in Your Workplace: Prevention & Response
Workplace violence takes a toll - physically, emotionally and monetarily. How many people are currently contemplating committing an act of violence in the workplace? Preventing these acts is much preferred and more cost-effective than cleaning up afterwards. It's essential that we train to recognize pre-incident indicators and report them before an act of violence occurs, but it is also critical to have a plan in place to respond should the unthinkable occur. We will analyze recent cases to identify unheeded warnings, and then identify physical security measures and procedures that should be in place. Participants will have a clear understanding of the existing violence problems; ways to recognize warning signs; prevention policies and methods, and how they should respond in an active shooter situation.

This session will benefit you not only in your work life, but your personal life as well.

Session Highlights:

- Types of Workplace Violence
- Recent Case Studies
- Testimonies of Survivors
- Recognizing Warning Signs
- Handling Domestic Violence Situations
- "Best Practice" Methods for Violence Diffusion and Prevention
- Preventive Physical Security Measures
- Surviving an Active Shooter Event

10:30 – 10:45 AM: Break

10:45 AM – 12 PM: Violence in Your Workplace: Prevention & Response Continued

12 – 1 PM: Lunch

1– 2 PM: Violence in Your Workplace: Prevention & Response Continued

2 – 2:15 PM: Break

2:15 – 3:15 PM: Situational Awareness & Your Safety

Being aware of your surroundings and learning to recognize and heed nuances of danger could save your life. In this session, we will learn where our greatest threats come from, how to reduce risk, and skills for dealing with danger. Why do we often get "a bad feeling" about a person or situation? We will discover where that feeling comes from and why we should trust it. Additionally, we will look at recent trends and case studies for lessons learned.

Session Highlights:

- Learning to Trust Instincts
- Where Threats Come From
- Recognizing Warning Signs
- Developing Situational Awareness

- Making Yourself Less of a Target
- What is the OODA loop?
- Case Studies
- Reactionary Gap

3:15 – 4 PM: Group Exercise/Scenarios

Program Highlights: Day 2 - Sept. 24

All Day 2 sessions will be led by Chad Knutson, SBS CyberSecurity

This program is designed to provide training on evolving cybersecurity threats and what your bank should do to build a strong Information Security Program that helps protect against these threats. The instructor will identify components of a comprehensive Information Security Program that enables successful IT Examinations and minimizes your risk against real-world threats. This session will walk you through various FFIEC and FDIC resources, as well as other industry best practices. It will also review the FFIEC Cybersecurity Assessment Tool, and the 10 most-common CAT Baseline controls missing in most financial institutions.

8:30 AM: Registration

9 – 10 AM: FFIEC Guidance and GLBA Overview

Banking guidance continues to evolve as our cybersecurity challenges increase. Sometimes it seems that cybersecurity challenges are growing faster than we are evolving. We will review GLBA requirements and highlight some of the newest regulatory requirements from the FFIEC, including the updated CAT. These will establish the foundation of what must be incorporated in our Information Security Programs. We will discuss the FFIEC Information Security Booklet and its 21 security controls; the FFIEC Management Booklet and the roles and responsibilities it outlines for IT Operations vs. Information Security, as well as Senior Management and the Board; and the FFIEC Mobile Financial Services Guidance, which is included in the Retail Payments booklet.

10 – 10:30 AM: Cybercrime Trends

Cybercriminals are always searching for innovative ways to steal our data and our money. Sometimes existing techniques are improved, as we have seen with sextortion phishing scams, and sometimes there are new attack vectors that are surface, as with ATM Jackpotting and Unlimited Operations. We will explore the following areas to expose the complex and organized nature of cybercrime:

- Phishing Attacks
- System Vulnerabilities
- Business Email Compromise (BEC)

- Ransomware
- ATM Fraud

10:30 – 10:45 AM: Break

10:45 – 11:15 AM: Cybercrime Trends Continued

11:15 AM – 12 PM: Top 10 Missing CAT Baseline Controls

The Federal Financial Institutions Examination Council (FFIEC) updated the Cybersecurity Assessment Tool (CAT) in June of 2017, and the CAT continues to be an active part of regulatory exams. Within the CAT, the Baseline controls are a level of security that every financial institution needs to maintain or achieve. We will review the most commonly missed Baseline controls, and how institutions might address those gaps. There are also great new security controls emerging in our industry, and we will explore some of these best practices to fortify our networks.

12 – 12:45 PM: Lunch

12:45 – 1:30 PM: FDIC InTREx Overview

FDIC's InTREx (Information Technology Risk Examination) was published in 2016 and is being used by the FDIC, Federal Reserve, and most State banking regulatory departments as an IT exam framework. We will review how InTREx is structured, common challenges, and how to prepare for your next examination by reviewing InTREx. There is a common set of documentation referenced within InTREx, and we will extract those items and review the other controls towards which InTREx guides institutions. We will also compare the FFIEC CAT process against InTREx.

1:30 – 2:15 PM: Information Security Programs

All banks are required to have a written, comprehensive Information Security Program that starts with a risk assessment. This section will overview the primary components of an Information Security Program to ensure your organization has a solid foundation on which to build its information security governance. With a risk-based Information Security Program, there are three major elements: Risk Assessment, Documentation, and Audit. We will explore these three areas, as well as how the risk assessment process drives the creation of documented policies, procedures, and plans that the institution can then implement. We will also discuss how the audit process then provides verification that those controls are both implemented and adequate.

2:15 – 2:30 PM: Break

2:30 – 3:30 PM: Cybersecurity Culture and Training Programs

The human element of information security is an increasing target for cybercriminals and generally considered the weakest area in information security. Security awareness and training on proper protocols is an essential element of good security and regulatory compliance. We will discuss many methods of constructing an adequate security awareness and training program for both employees of your bank and customers of your online products and services. Awareness to cybersecurity issues, training on what is expected, and clear accountability for employees and management responsible for protecting customer information. These elements can help establish a lasting culture that includes a passion for protecting customer information and a desire to be successful against cybercrime.

3:30 PM: Program Concludes

About the Conference Presenters

Carol Dodgen is the owner of Dodgen Security Consulting, LLC. Since 1998, her company has been providing services for financial institutions, government entities, and businesses to include training, ATM lighting inspections, and vulnerability assessments. Before forming her company, Carol served as the security training officer for Compass Bank. She earned her Master's Degree in Criminal Justice and spent several years as an adjunct criminal justice instructor with Jacksonville State University. Carol is a nationally recognized speaker who has provided training for over 80,000 corporate, manufacturing, law enforcement, and security personnel over the past 24 years. Carol earned her CPD (Crime Prevention Designation) and provides instruction on Crime Prevention Through Environmental Design (CPTED), Workplace Violence Prevention and Response, Managing Aggressive Behavior, Robbery Prevention and Response, and Personal Safety. In 2011, she was selected as a presenter on Workplace Violence at the ASIS International Conference. Carol recently produced her 2nd video training program entitled "Robbery: Plan. Prevent. Prevail." which provides training for employees of financial institutions in proper robbery procedures.

Carol is a current member and past chapter president of the American Society of Industrial Security (ASIS), a member of the Illuminating Engineering Society (IES), the IES Security Lighting Committee, and a past board member of the Alabama Women in Business. In 2009, Carol was appointed by the governor to serve on the newly formed Alabama Security Regulatory Board and served for 6 years as vice-chair of this board which regulates the security guard industry in the state.
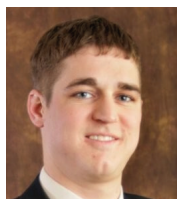
Chad Knutson is a co-founder and senior information security consultant for SBS CyberSecurity, a premier cybersecurity consulting and audit firm dedicated to making a positive impact on the banking and financial services industry, and has served as president of the SBS Institute since 2013. Chad maintains his CISSP, CISA, and CRISC certifications, and received his Bachelor of Science in Computer Information Systems and his Master of Science in Information Assurance from Dakota State University.

Chad is dedicated to educating industry professionals about cybersecurity. While consulting with financial institutions, he saw the need to empower employees to be better prepared to confidently handle cybersecurity threats, create and manage strong information security programs, and understand ever-changing regulations at their institution. He was a driving force in the development of the SBS Institute certification program, which is uniquely designed to serve the banking industry by providing banking-specific, role-based certifications. The SBS Institute has grown to include over 10 certifications and state association partners in over 30 states, including the Ohio Bankers League.

Cancellation Policy

Refunds will be granted for cancellations received in writing to education@ohiobankersleague.com by Sept. 16, 2019. Cancellations will be subject to a $75 processing fee. No refunds or credits will be granted for cancellations received after the noted date or for day-of-event no shows. Substitutions are always welcome.

Important

For more information, please contact Julie Kiplinger at 614-340-7612 or at jkiplinger@ohiobankersleague.com. For a complete listing of OBL programs, or to register online, visit www.ohiobankersleague.com. Dress is business casual.

Hotel Accomodations

Discounted overnight rooms are available for $147/night at Embassy Suites Columbus Airport (Tel. 614-536-0500). The hotel is located approximately four miles from the OBL and offers complimentary shuttle service to the Easton area and directly to the OBL Education Center and offices. Rooms are also available at The Hampton Inn & Suites (Tel. 614-473-9911), less than one half mile from the OBL, for $148/night. OBL guests can receive a preferred rate of $152 at SpringHill Suites and $142 at TownePlace Suites. (Tel. 614-471-3500). Pricing for all hotels includes breakfast and Internet access. For additional options, visit www.eastontowncenter.com.
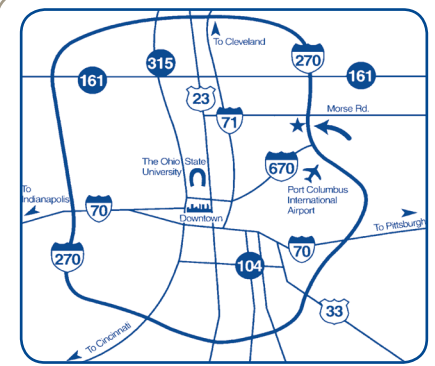
The OBL is located at 4215 Worth Avenue, Suite 300, Columbus, OH 43219

FROM NORTH
Take I-71 South to I-270 East. Take the Easton Exit (Exit 33) onto Easton Way. Turn right at the first light onto Stelzer Road. Turn right at Worth Avenue. The OBL office is the three story office building on the right.

FROM SOUTH
Take I-71 North to I-670 East. Remain on I-670 to I-270 North. Take the Easton Exit (Exit 33) onto Easton Way. Turn right at the first light onto Stelzer Road. Turn right at Worth Avenue. The OBL office is the three story office building on the right.

FROM EAST
Take I-70 West to I-270 North. Take the Easton Exit (Exit 33) onto Easton Way. Turn right at the first light onto Stelzer Road. Turn right at Worth Avenue. The OBL office is the three story office building on the right.

FROM WEST
Take I-70 East to I-71 North to I-670 East. Remain on I-670 to I-270 North. Take the Easton Exit (Exit 33) onto Easton Way. Turn right at the first light onto Stelzer Road. Turn right at Worth Avenue. The OBL office is the three story office building on the right.
Free parking is available around the building.

The Ohio Bankers League is registered with the National Association of State Boards of Accountancy as a sponsor of continuing professional education on the National Registry of CPE Sponsors. This program and attendees qualify for Continuing Professional Education credit in the area of Specialized Knowledge.

Registration

2019 OBL Bank Security Management Conference

Please Select One
- ❑ Sept. 23 Only
- ❑ Sept. 24 Only
- ❑ Both Days

Please Select One

	ONE DAY RATE	TWO DAY RATE	
	❑ $295	❑ $495	per OBL Member
	❑ $590	❑ $990	per Nonmember

Please copy this form for additional registrations.
Registration is also available online at www.ohiobankersleague.com.

REGISTRANT _____ TITLE _____

BANK _____

NAME FOR BADGE _____

MAILING ADDRESS _____

CITY STATE ZIP _____

COUNTY _____

PHONE _____

FAX _____

E-MAIL (REQUIRED) _____

SPECIAL ASSISTANCE / DIETARY NEEDS _____

PLEASE SEND CHECK AND REGISTRATION TO OHIO BANKERS LEAGUE, ATTENTION EDUCATION DEPT.
MAIL TO: **4215 Worth Avenue, Suite 300, Columbus, Ohio 43219, Phone 614-340-7595, Fax 614-340-7596**
CONFIRMATION WILL BE SENT UPON RECEIPT OF YOUR REGISTRATION. PLEASE USE THIS FORM AS YOUR INVOICE.

Method of Payment
Payment is requested at the time of registration.

- ❍ My check for $_____ is enclosed.
- ❍ Please charge my credit card $_____ as noted below.
  - ❍ Visa   ❍ MasterCard
  - ❍ Discover   ❍ AmEx

CARD NUMBER _____

EXPIRATION DATE _____

CARDHOLDER NAME _____

BILLING ADDRESS _____

CARDHOLDER'S SIGNATURE _____

CVV NUMBER (3 DIGITS ON BACK OF CARD) _____